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|---|---|--------------------------|-------------------|------------------------|-----------------|
| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   | <b>Complete if Known</b> |                   |                        |                 |
|   |   | Application Number       | 09/724,288        |                        |                 |
|   |   | Filing Date              | November 28, 2000 |                        |                 |
|   |   | First Named Inventor     | Schenk, Dale B.   |                        |                 |
|   |   | Art Unit                 | 1649              |                        |                 |
|   |   | Examiner Name            | Daniel E. Kolker  |                        |                 |
| Sheet   | 1 | of                       | 11                | Attorney Docket Number | 15270J-004765US |

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| Sheet   | 2 | of | 11                       | Attorney Docket Number | 1527QJ-004765US |

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|   |              | Examiner Name            | Daniel E. Kolker  |
| Sheet <b>3</b>  | of <b>11</b> | Attorney Docket Number   | 15270J-004765US   |

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|  |   |    | <i>First Named Inventor</i>   | Schenk, Dale B.   |
|  |   |    | <i>Art Unit</i>               | 1649              |
|  |   |    | <i>Examiner Name</i>          | Daniel E. Kolker  |
| (Use as many sheets as necessary)                        |   |    | <i>Attorney Docket Number</i> | 15270J-004765US   |
| Sheet  | 6 | of | 12                            |                   |

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|                                 | 927                      | AISEN, P., "Inflammation and Alzheimer's Disease: Mechanisms and Therapeutic Strategies," <i>Gerontology</i> , 43:143-149 (1997).   |  | <input type="checkbox"/> |
|                                 | 912                      | AKIYAMA et al., "The amino-terminally truncated forms of amyloid $\beta$ -protein in brain macrophages in the ischemic lesions of Alzheimer's disease patients," <i>Neuroscience Letters</i> , 219:115-118 (1996).  |  | <input type="checkbox"/> |
|                                 | 1047                     | ALBERTS et al., eds. <i>Molecular Biology of The Cell, Third Edition</i> , chapter 23, pages 1216-1218 (1994).  |  | <input type="checkbox"/> |
|                                 | 875                      | ARD et al., "Scavenging of Alzheimer's Amyloid $\beta$ -Protein by Microglia in Culture," <i>J. Neuroscience Research</i> , 43:190-202 (1996).  |  | <input type="checkbox"/> |
|                                 | 561                      | BELLOTTI et al., "Application of Monoclonal Anti-idiotypes in the Study of AL Amyloidosis: Therapeutic Implications," <i>Renal Failure</i> , 15(3):365-371 (1993).  |  | <input type="checkbox"/> |
|                                 | 790                      | BALES et al., "Administration of an Anti-A $\beta$ Fab Fragment to APP <sup>V717F</sup> Transgenic Mice Reduces Neuritic Plaque," Abstract P4-396, presented at Poster Session P4: Therapeutics and Therapeutic Strategies-Therapeutic Strategies, Amyloid-Based, <i>Neurology of Aging</i> , 25:S587 (2004). |  | <input type="checkbox"/> |
|                                 | 1048                     | BENDING, "Humanization of Rodent Monoclonal Antibodies by CDR Grafting," <i>A Companion to Methods in Enzymology</i> , 8:83-93 (1995).  |  | <input type="checkbox"/> |
|                                 | 558                      | BENJAMINI et al., from <i>IMMUNOLOGY A Short Course</i> , Second Edition, pages 136-138, 143, 73-74, 372-373, and 400-401, 1991, published by Wiley-Liss, Inc., New York, New York.   |  | <input type="checkbox"/> |
|                                 | 911                      | BEN-YEDIDIA et al., "Design of peptide and polypeptide vaccines," <i>Current Opinion in Biotechnology</i> , 8:442-448 (1997).   |  | <input type="checkbox"/> |

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| Examiner<br>Signature |  | Date<br>Considered |  |
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|                                 | 746                      | BRAZIL et al., "Effects of Incorporation of Immunoglobulin G and Complement Component C1q on Uptake and Degradation of Alzheimer's Disease Amyloid Fibrils by Microglia," <u>J. Biol. Chem.</u> , 275(22):16941-16947 (2000).                                   | <input type="checkbox"/> |  |
|                                 | 915                      | BRITT et al., "Formulation of an immunogenic human cytomegalovirus vaccine: responses in mice," <u>J. Infect. Dis.</u> , 171:18-25 Abstract (1995).   | <input type="checkbox"/> |  |
|                                 | 1085                     | CASSET et al., "A Peptide Mimetic of an Anti-CD4 Monoclonal Antibody By Rational Design," <u>Biochemical and Biophysical Research Communications</u> , 307:198-205 (2003).  | <input type="checkbox"/> |  |
|                                 | 932                      | CHAKRABARTI et al., "Vaccinia Virus Expression Vector: Coexpression of B-Galactosidase Provides Visual Screening of Recombinant Virus Plaques," <u>Molecular and Cellular Biology</u> , 5(12):3403-3409 (1985).   | <input type="checkbox"/> |  |
|                                 | 930                      | CHANG et al., "Adjuvant activity of incomplete Freund's adjuvant," <u>Advanced Drug Delivery Reviews</u> , 32:173-186 (1998).   | <input type="checkbox"/> |  |
|                                 | 639                      | Chimicon International, "Mouse Anti-Amyloid Beta Protein Monoclonal Antibody," Catalog # MAB1561 (2003-2005).   | <input type="checkbox"/> |  |
|                                 | 1091                     | CLARK et al., <u>Chemical Immunology Antibody Engineering IgG Effector Mechanisms</u> , 65:88-110 (1997).   | <input type="checkbox"/> |  |
|                                 | 1046                     | COLMAN, "Effects of Amino Acid Sequence Changes On Antibody-Antigen Interactions," <u>Research In Immunology</u> , 145:33-36 (1994).  | <input type="checkbox"/> |  |
|                                 | 925                      | Colombian Patent Application No. 98071271, Technical Opinion of Jean Paul Vernot submitted on June 22, 2005 as evidence with the brief amending the nullity action (with English translation) (drafted November 2004).  | <input type="checkbox"/> |  |
|                                 | 908                      | COREY-BLOOM et al., "Clinical features distinguishing large cohorts with possible AD, probable AD, and mixed dementia," <u>J. Am. Geriatr. Soc.</u> , 41(1):31-37 Abstract (1993).  | <input type="checkbox"/> |  |

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|                                 | 745                      | DEWITT et al., "Astrocytes regulate microglial phagocytosis of senile plaque cores of Alzheimer's disease," <i>Experimental Neurology</i> , 149:329-340 (1998).   | <input type="checkbox"/> |  |
|                                 | 220                      | Dialog/Derwent, Abstract of WPI Acc No: 1997-054436/199706: Stable vaccine compns. - comprise a macrocyclic lactone, a milbemycin, an avermectin, an antigen, a dispersing agent, an adjuvant, a water sol. organic solvent and saline or water, Derwent File 351: Derwent WPI database (1997). | <input type="checkbox"/> |  |
|                                 | 910                      | DREW et al., "Vaccination by cholera toxin conjugated to a herpes simplex virus type 2 glycoprotein D peptide," <i>Journal of General Virology</i> , 73:2357-2366 (1992).   | <input type="checkbox"/> |  |
|                                 | 920                      | Ecuador Patent Application No. SP 98-2764, English translation of Expert Report submitted April 19, 2007 in support of the Appeal filed on July 29, 2005.   | <input type="checkbox"/> |  |
|                                 | 942                      | European Examination Report of 03/09/2007 for European Application 01995364.5-1222.   | <input type="checkbox"/> |  |
|                                 | 941                      | European Examination Report of 09/26/2007 for European Application 04720353.4-1222.   | <input type="checkbox"/> |  |
|                                 | 940                      | European Examination Report of 10/08/2007 for European Application 01995364.5-1222.   | <input type="checkbox"/> |  |
|                                 | 1081                     | GIBSON et al., "Abnormalities in Alzheimer's Disease Fibroblasts Bearing the APP670/671 Mutation," <i>Neurobiology of Aging</i> , 18(6):573-580 (1997).   | <input type="checkbox"/> |  |
|                                 | 664                      | HARLOW et al., eds., <i>Antibodies: A Laboratory Manual</i> , pages 71-82 (1998).   | <input type="checkbox"/> |  |
|                                 | 931                      | HARLOW et al., eds., <i>Antibodies: A Laboratory Manual</i> , page 98 (1988).   | <input type="checkbox"/> |  |

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|                        | 559                      | HERLYN et al., "Monoclonal antibodies in cell-mediated cytotoxicity against human melanoma and colorectal carcinoma", <u>Eur. J. Immunol.</u> , 9:657-659 (1979).   | <input type="checkbox"/> |
|                        | 1086                     | HOLMES et al., "Long-term Effects of A $\beta$ <sub>42</sub> Immunisation in Alzheimer's Disease: Follow-up of a Randomised, Placebo-controlled Phase I Trial", <u>Lancet</u> , 372: 216-223 (2008).  | <input type="checkbox"/> |
|                        | 1090                     | HOPP et al., "Prediction of protein antigenic determinants from amino acid sequences," <u>Proc. Natl. Acad. Sci. USA</u> 78:3824-3828 (1981).   | <input type="checkbox"/> |
|                        | 1092                     | HUSSAIN et al., "Selective Increases in Antibody Isotypes and Immunoglobulin G Subclass Responses to Secreted Antigens in Tuberculosis Patients and Healthy Household Contacts of the Patients," <u>Clinical and Diagnostic Laboratory Immunology</u> , 2(6): 726-732 (1995). | <input type="checkbox"/> |
|                        | 1087                     | HYSLOP et al., "Will Anti-amyloid Therapies Work For Alzheimer's Disease?," <u>Lancet</u> , 372:180-182 (2008).   | <input type="checkbox"/> |
|                        | 560                      | JAHLING et al., "Opsonization of Alphaviruses in Hamsters," <u>J. Medical Virology</u> , 12:1-16 (1983).  | <input type="checkbox"/> |
|                        | 929                      | JANSEN et al., "Use of Highly Encapsulated <i>Streptococcus pneumoniae</i> Strains in a Flow-Cytometric Assay for Assessment of the Phagocytic Capacity of Serotype-Specific Antibodies," <u>Clinical &amp; Diagnostic Lab. Immunol.</u> , 5(5):703-710 (1998).               | <input type="checkbox"/> |
|                        | 1049                     | MACCALLUM et al., "Antibody-antigen Interactions: <u>Contact Analysis and Binding Site Topography</u> , 262:732-745 (1996).   | <input type="checkbox"/> |
|                        | 904                      | MAMIKONYAN et al., "Anti-A $\beta$ <sub>1-11</sub> Antibody Binds to Different $\beta$ -Amyloid Species, Inhibits Fibril Formation, and Disaggregates Preformed Fibrils but Not the Most Toxic Oligomers," <u>J Biol Chem</u> , 282(31) 22376-22386 (2007).                   | <input type="checkbox"/> |

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of 12

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|                        | 913                      | MANNING et al., "Genetic Immunization with Adeno-Associated Virus Vectors Expressing Herpes Simplex Virus Type 2 Glycoproteins B and D," <i>Journal of Virology</i> , 71(10):7960-7962 (1997).  | <input type="checkbox"/> |
|                        | 1082                     | MASLIAH et al., "Amyloid Protein Precursor Stimulates Excitatory Amino Acid Transport," <i>The Journal of Biological Chemistry</i> , 273(20):12548-12554 (1998).  | <input type="checkbox"/> |
|                        | 1088                     | NASHAR et al., "Current progress in the development of the B subunits of cholera toxin and Escherichia coli heat-labile enterotoxin as carries for the oral delivery of heterologous antigens and epitopes," <i>Vaccine</i> , 11(2):235-40 (1993), abstract only.     | <input type="checkbox"/> |
|                        | 933                      | PCT International Preliminary Examination Report of 02/09/2004 for application PCT/US01/46587.  | <input type="checkbox"/> |
|                        | 919                      | PCT Written Opinion of 12/14/04 for application PCT/US04/02856.   | <input type="checkbox"/> |
|                        | 937                      | PCT International Preliminary Report on Patentability (Chapter I) of 09/16/2005 with Written Opinion of 05/09/2005 for application PCT/US04/007503.   | <input type="checkbox"/> |
|                        | 1075                     | PCT International Preliminary Report on Patentability (Chapter II) of 12/21/2006 for application PCT/US2006/002837.   | <input type="checkbox"/> |
|                        | 1080                     | PCT International Preliminary Report on Patentability (Chapter I) of 07/31/2007 with Written Opinion for application PCT/US2006/004741.   | <input type="checkbox"/> |
|                        | 1076                     | PCT Written Opinion of 8/11/2006 for application PCT/US2006/002837.   | <input type="checkbox"/> |
|                        | 938                      | PCT International Preliminary Report on Patentability (Chapter II) of 04/27/2006 for application PCT/US04/007503.   | <input type="checkbox"/> |

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|                                 | 1042                     | PNAS Information for Authors (revised January 1997), Retrieved 21 April 2008 from <a href="http://web.archive.org/web/19970610092808/www.pnas.org/forc.shtml">http://web.archive.org/web/19970610092808/www.pnas.org/forc.shtml</a> .                           | <input type="checkbox"/> |                    |
|                                 | 928                      | RAMMENSEE, H.G., "Chemistry of peptides associated with MHC class I and class II molecules," <i>Current Opinion in Immunology</i> , 7:85-96 (1995).   | <input type="checkbox"/> |                    |
|                                 | 926                      | RODRIGUEZ et al., "Enfermedad de Alzheimer. Situación Actual y Estrategias Terapéuticas" (Alzheimer Disease: present situation and therapeutic strategies), <i>Rev Cubana Med</i> [online], 38(2):134-142 (1999).   | <input type="checkbox"/> |                    |
|                                 | 1089                     | ROLPH et al., "Recombinant viruses as vaccines and immunological tools," <i>Immunity to Infection</i> , 9:517-521 (1997).   | <input type="checkbox"/> |                    |
|                                 | 1083                     | SMALL, "The Role of the Amyloid Protein Precursors (APP) in Alzheimer's Disease: Does the Normal Function of APP Explain the Topography of Neurodegeneration?," <i>Neurochemical Research</i> , 23(5):795-806 (1997).   | <input type="checkbox"/> |                    |
|                                 | 186                      | SOLOMON et al., "Activity of monoclonal antibodies in prevention of in vitro aggregation of their antigens," <i>Immunotechnology</i> , 2(4):305 (1996).   | <input type="checkbox"/> |                    |
|                                 | 950                      | SOLOMON et al., "Fast induction of anti-β-amyloid peptide immune response," <i>Research and Practice in Alzheimer's Disease</i> , 6:260-264 (2002).   | <input type="checkbox"/> |                    |
|                                 | 914                      | SPELLERBERG et al., "DNA Vaccines Against Lymphoma," <i>Journal of Immunology</i> , 159:1885-1892 (1997).   | <input type="checkbox"/> |                    |
|                                 | 1093                     | STAUNTON et al., "Primary structures of ICAM-1 demonstrates interaction between members of the immunoglobulin and integrin supergene families," <i>Cell</i> 52(6):925-33 (1988), abstract only.   | <input type="checkbox"/> |                    |
|                                 | 939                      | Supplementary Partial European Search Report of 04/10/2007 for European Application 04720353.4-1222.  | <input type="checkbox"/> |                    |
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|                     | 907                   | TANG et al., "Genetic immunization is a simple method for eliciting an immune response," <u>Nature</u> , 356:152-154 (1992).   | <input type="checkbox"/> |
|                     | 952                   | ULVESTAD et al., "Fc Receptors for IgG on Cultured Human Microglia Mediate Cytotoxicity and Phagocytosis of Antibody-coated Targets," <u>Journal of Neuropathology and Experimental Neurology</u> , 53(1):27-36 (1994).  | <input type="checkbox"/> |
|                     | 936                   | VANDERSTICHELE et al., "Standardization of Measurement of B-amyloid(1-42) in Cerebrospinal Fluid and Plasma," <u>Int. J. Exp. Clin. Invest.</u> , 7(4):245-258 (2000).   | <input type="checkbox"/> |
|                     | 569                   | VERSHIGORA A. E. <u>OBSHCAYA IMMUNOLOGIYA</u> , pages 35, 229-231 and 152-153 (1990).  | <input type="checkbox"/> |
|                     | 916                   | WINBLAD et al., "Hints of a therapeutic Vaccine for Alzheimer's?" <u>Neuron</u> , 38:517-519 (2003).   | <input type="checkbox"/> |
|                     | 223                   | WISCONSIN ALUMNI RESEARCH FOUNDATION, "Injection of Newborn Mice with Seven Chemical Adjuvants to Help Determine Their Safety in Use in Biologicals", U.S. Govt. Res. Develop. Rep., 70(24), 56 (1969).  | <input type="checkbox"/> |
|                     | 909                   | XIANG et al., "Manipulation of the immune response to a plasmid-encoded viral antigen by coinoculation with plasmids expressing cytokines," <u>Immunity</u> , 2(2):129-135 Abstract (1995).  | <input type="checkbox"/> |
|                     | 917                   | YANKNER et al., "Neurotrophic and Neurotoxic effects of Amyloid $\beta$ Protein: Reversal by Tachykinin Neuropeptides," <u>Science</u> , 250:279-282 (1990).   | <input type="checkbox"/> |
|                     | 793                   | ZAMEER et al., "Single Chain Fv Antibodies against 25-35 Peptide Fragment of Amyloid- $\beta$ : Potential Therapeutic for Alzheimer's Disease," Abstract P4-420, Page S593, presented at Poster Session P4: Therapeutics and Therapeutic Strategies-Therapeutic Strategies, Amyloid-Based, also <u>Neurobiology of Aging</u> , 25(Suppl. 2): p S593 (July 2004). | <input type="checkbox"/> |

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